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JC07 REC'd PCT/PTO 31 OCT 2001

Docket No. 1151-4153US1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Chang Yi Wang et al.

Group Art Unit: TBA

Serial No.: 09/701,623

Examiner: TBA

Filed: June 21, 1999

For: Peptide Composition As Immunogen for the Treatment of Allergy

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EL 912 007 371US

Date of Deposit: October 31, 2001

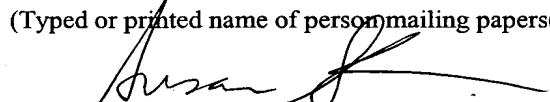
I hereby certify that the following attached paper(s) and/or fee

1. Response;
2. Statement under 37 CFR 1.821;
3. Paper and disc copy of Sequence Listing;
4. Return Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Susan Shen

(Typed or printed name of person mailing papers(s) and/or fee)

  
(Signature of person mailing paper(s) and/or fee)

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1151-4153 US1  
LIN

**O I P E**  
OCT 31 2001  
PATENT & TRADEMARK OFFICE

|   |  |                                  |
|---|--|----------------------------------|
| U.S. APPLICATION NUMBER NO.<br>09/701,623       | FIRST NAMED APPLICANT<br>Chang Yi Wang | ATTY. DOCKET NO.<br>1151-4153US1 |
| INTERNATIONAL APPLICATION NO.<br>PCT/US99/13959 |  |                                  |
| I.A. FILING DATE<br>06/21/1999                  | PRIORITY DATE                          |                                  |

Morgan & Finnegan  
345 Park Avenue  
New York, NY 10154-0053

CONFIRMATION NO. 8939  
371 FORMALITIES LETTER  
\*OC00000006911779\*

Date Mailed: 10/18/2001

### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination

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2001 OCT 23 1 P 2:45  
MORGAN & FINNEGAN LLC

(Final Resp. Due)

CASE 1151-4153 US1 ATTY LIN  
DUE DATE November 18, 2001  
STATUTORY DATE \_\_\_\_\_  
BY J.M.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - APPLICANT MUST PROVIDE:
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended up to a maximum of six months.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHAKEEL AHMED

Telephone: (703) 305-3659

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/701,623                  | PCT/US99/13959                | 1151-4153US1     |